

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO  
Rev. 10/95

U.S. Department of Commerce  
Patent and Trademark Office

**Application Number**

09/057,628

## TRANSMITTAL FORM

*used for all correspondence during pendency of  
filed application)*

Total Number of Pages in This Submission

7\*\*

Attorney Docket Number

3157

RECEIVED

**ENCLOSURES (check all that apply)**

**REMARKS:** \*\* "Total Number of Pages in this Submission" does not include cited references

**SIGNATURE OF ATTORNEY OR AGENT**

Signature:

Attorney/Reg. No.:

7/14/98

**CERTIFICATE OF MAILING**

Signature:

Typed or Printed Name: Sanjay Prasad

Dated:

7/14/98

Express Mail Mailing Number (optional):

0002/PTO(modified) Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Complete if Known																																																																																																																																																																					
<b>FEE TRANSMITTAL</b>  <b>TOTAL AMOUNT OF PAYMENT</b> Subtotal (1) + Subtotal (2) + Subtotal (3) = <b>(\$.00)</b>				Application Number	09/057,628																																																																																																																																																																				
		Filing Date	July 14, 1998																																																																																																																																																																						
		First Named Inventor	Dong-Ying Kuo																																																																																																																																																																						
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<b>METHOD OF PAYMENT</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																							
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17 or credit any over payments to the below mentioned deposit account. <sup>1</sup> <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> <th>GROUP Fee Due</th> </tr> </thead> <tbody> <tr><td>105/\$130</td><td>205/\$65</td><td>Surcharge - late filing fee or oath</td><td>2100</td></tr> <tr><td>127/\$50</td><td>227/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td>9:30</td></tr> <tr><td>147/\$2,520</td><td>147/\$2,520</td><td>For filing a request for reexamination</td><td>3</td></tr> <tr><td>115/\$110</td><td>215/\$55</td><td>Extension for response within first month<sup>1</sup></td><td>3</td></tr> <tr><td>116/\$400</td><td>216/\$200</td><td>Extension for response within second month<sup>1</sup></td><td></td></tr> <tr><td>117/\$950</td><td>217/\$475</td><td>Extension for response within third month<sup>1</sup></td><td></td></tr> <tr><td>118/\$1,510</td><td>218/\$755</td><td>Extension for response within fourth month<sup>1</sup></td><td></td></tr> <tr><td>128/\$2,060</td><td>228/\$1,030</td><td>Extension for response within fifth month<sup>1</sup></td><td></td></tr> <tr><td>119/\$310</td><td>219/\$155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>141/\$1,320</td><td>241/\$660</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>142/\$1,320</td><td>242/\$660</td><td>Utility Issue Fee (Or Reissue)</td><td></td></tr> <tr><td>143/\$450</td><td>243/\$225</td><td>Design Issue Fee</td><td></td></tr> <tr><td>122/\$130</td><td>122/\$130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123/\$50</td><td>123/\$50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126/\$240</td><td>126/\$240</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>581/\$40</td><td>581/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146/\$790</td><td>246/\$395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149/\$790</td><td>249/\$395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td colspan="4">Other fee (specify):</td><td></td></tr> <tr><td colspan="4">Other fee (specify):</td><td></td></tr> <tr> <td colspan="2"></td> <td colspan="2"><b>SUBTOTAL (1)</b> <b>(\$.00)</b></td> <td colspan="2"><b>SUBTOTAL (3)</b> <b>(\$.00)</b></td> </tr> <tr> <td colspan="2">           2. 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Number</td> <td>36,247</td> </tr> <tr> <td>Signature</td> <td colspan="4"></td> <td>Date</td> <td colspan="2">7/14/98</td> </tr> </tbody></table>				Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	GROUP Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	2100	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	9:30	147/\$2,520	147/\$2,520	For filing a request for reexamination	3	115/\$110	215/\$55	Extension for response within first month <sup>1</sup>	3	116/\$400	216/\$200	Extension for response within second month <sup>1</sup>		117/\$950	217/\$475	Extension for response within third month <sup>1</sup>		118/\$1,510	218/\$755	Extension for response within fourth month <sup>1</sup>		128/\$2,060	228/\$1,030	Extension for response within fifth month <sup>1</sup>		119/\$310	219/\$155	Notice of Appeal		141/\$1,320	241/\$660	Petition to revive unintentionally abandoned application		142/\$1,320	242/\$660	Utility Issue Fee (Or Reissue)		143/\$450	243/\$225	Design Issue Fee		122/\$130	122/\$130	Petitions to the Commissioner		123/\$50	123/\$50	Petitions related to provisional applications		126/\$240	126/\$240	Submission of Information Disclosure Statement		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)		146/\$790	246/\$395	Filing a submission after final rejection (37 CFR 1.129(a))		149/\$790	249/\$395	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify):					Other fee (specify):							<b>SUBTOTAL (1)</b> <b>(\$.00)</b>		<b>SUBTOTAL (3)</b> <b>(\$.00)</b>		2. 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<sup>1</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

GMU 2774  
PATENT #4  
98 JUL 22 AM 9:37  
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GROUP 2700  
MIC  
1-29-98

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Dong-Ying Kuo, Zhou Hong, Randy Zhao, Roger Niu,  
Poornachandra Rao, Lin Chen and Jeremy Alves

SERIAL NO.:

09/057,628

FILED DATE:

April 9, 1998

TITLE:

Non-Stalled Requesting Texture Cache System and Method

EXAMINER: Not Yet Known

GROUP ART UNIT: 2774

ATTY. DKT. NO.: 3157

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 7/14/98

By: S. Prasad  
Sanjay Prasad, Reg. No.: 36,247

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC. 20231

**INFORMATION DISCLOSURE STATEMENT**  
**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

The Information Disclosure Statement submitted herewith is being filed:

within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first official action on the merits, whichever event last occurred;  
OR

after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either:

- (1) a final action under 37 CFR §1.113; OR
- (2) a notice of allowance under 37 CFR §1.311; AND

attached hereto is the fee of \$240, as set forth under 37 CFR §1.17(p), for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR

Applicant certifies pursuant to 37 CFR § 1.97(e) that:

each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;  
OR

no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.

OR

before the payment of the issue fee but after the mailing date of the first to occur of either:

[1] a final action under 37 CFR § 1.113; OR  
[2] a notice of allowance under 37 CFR § 1.311; AND  
in accordance with the requirements of 37 CFR § 1.97(d):

Applicant certifies pursuant to 37 CFR. § 1.97(e) that:

each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR

no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

Applicant hereby respectfully petitions for the consideration of the accompanying Information Disclosure Statement under 37 CFR § 1.97(d)(2); AND

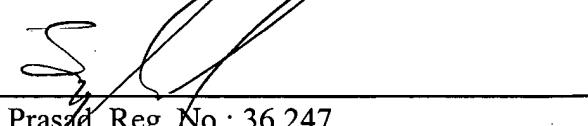
Applicant submits the petition fee of \$130 as set forth in 37 CFR § 1.17(i).

Applicant submits that no fee is required for the consideration of the accompanying Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
DONG-YING KUO, ZHOU HONG, RANDY  
ZHAO, ROGER NIU, POORNACHANDRA RAO,  
LIN CHEN AND JEREMY ALVES

Dated: 7/17/98

By:   
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